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HE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Shu Lin, et al. 09/378,671

Ser. No.: Filed:

August 20, 1999

For:

USER INTERFACE AND PROCESSING SYSTEM FOR

DIGITAL VIDEO, AUDIO AND ANCILLARY DATA

Examiner:

Tran, Thai Q.

Art Unit:

2616

INFORMATION DISCLOSURE STATEMENT

[]1 Pursuant to 37 CFR 1.97(b)
[within 3 months of filing or prior to 1st Office Action]

Certification Pursuant to 37 CFR 1.97(c) [before Final Office Action or Allowance]

[] 3 Fee Payment Pursuant to 37 CFR 1.97(c) [before Final Office Action or Allowance]

[] 4 Petition, Certification & Petition Fee Payment Pursuant to 37 CFR 1.97(d) [before issue fee payment]

Hon. Commissioner for Patents Alexandria, VA 22313

Sir:

The following are submitted in the above-identified application in compliance with 37 CFR 1.97 & 1.98:



A list of documents on form PTO-1449 together with copies of each identified document.

This paper is submitted in accordance with:

- [] 6 37 CFR 1.97(b): [within 3 months of filing or prior to 1st Office Action]
- 7 37 CFR 1.97(c): [before Final Office Action or Allowance, whichever is earlier]; and

[X] 8 The required certification made in item 11 below; or

- [] 9The \$180.00 fee specified in 37 CFR §1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.
- [10 37 CFR§ 1.97(d): [before issue fee payment]; and
 - (a) This is a petition for consideration of the subject Information Disclosure Statement. The petition fee (\$130.00) required by 37 CFR 1.17(i)(1) is authorized in item 14 below. (Direct this letter to "Attention PETITIONS EXAMINER" and if applicable include batch locator information: e.g., "Allowed Files, Batch ______, Date of Allowance ______"), and
 - (b) The required Certification is stated in item 11 below.

11 Certification

- [] 12 Each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or
- No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.
- [XX] 14 Please charge any applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 07-0832. An original and one (1) copy of this document is enclosed.

Respectfully Submitted,

Shu Lin Donald Henry Willis Mark Alan Schultz

BY:

Joel M. Fogelson, Attorney Registration No. 43,613

(609) 734-6809

Date: <u>March 3, 2006</u>

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Certificate of Mailing under 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in a postage paid envelope addressed to: Commissioner of Patents and Trademarks, Alexandria, VA 22313 on the date indicated below.

Date: 3/3/06 Signature Filipanium 3

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PTO/SB/08a (08-03) Approved for use through 07/31/2006. OMB 0651-0031

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 1

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Application Number	09/378,671		
Filing Date	August 20, 1999		
First Named Inventor	Shu Lin, et al.		
Art Unit	2616		
Examiner Name	Tran, Thai Q		
Attorney Docket Number	RCA 89727		

U.S. PATENT DOCUMENTS					
Examiner Continues N	Cite	Document Number		Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	No.1	Number - Kind Code ² (if known)			
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		FOREIGN PA	TENT DOCU	MENTS		
Examiner Cite No.1	Cito	Foreign Patent Document		Name of Patentee or	Pages, Columns, Lines, Where Relevant	
	Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Applicant of Cited Document	Passages or Relevant Figures Appear	T⁵	
	АА	EP 0 797 204	11-14- 2001	Pioneer Electronic Corporation		
	AB	EP 0 942 426	09-15- 1999	Nippon Columbia Co., Ltd.		
	AC	EP 0 738 078	08-18- 1999	Kabushiki Kaisha Toshiba	·	
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Examiner Signature	:	 Date Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS